

101
S. PTO

UTILITY PATENT APPLICATION TRANSMITTAL
(only for new and continuation-in-part
nonprovisional applications under 37 CFR
1.53(b))

Docket No.:

P-UC 4679

1040 U.S. P.
09/932161
08/17/01

Address to: COMMISSIONER FOR PATENTS
Box Patent Application
Washington, D.C. 20231



23601

PATENT TRADEMARK OFFICE

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Amy Burkhardt

(TYPED OR PRINTED NAME OR PERSON MAILING PAPER OR FEE)

Amy Burkhardt

(SIGNATURE OF PERSON MAILING PAPER OR FEE)

09932161-081701

This is a request for filing a
_____ new utility patent application under 37 CFR 1.53(b)(1).

X continuation-in-part under CFR 1.53(b)(2) of prior
application serial no. 09/560,915, filed
April 28, 2000.

Title: SCREENING AND THERAPEUTIC METHODS FOR PROMOTING
WAKEFULNESS AND SLEEP

Inventor(s) (full name of each inventor): Olivier Civelli
Steven Lin

Enclosed are:

- X Return receipt postcard
- X Patent Application Bibliographic Data Sheet
- X 1 Page application cover sheet
- X 95 Pages of specification (includes claims and abstract)
- X 7 Sheets of drawing(s)
- X 3 Pages of an executed Declaration for Patent Application
- X An executed Power of Attorney By Assignee and Exclusion
of Inventor(s) Under 37 CFR § 3.71
- X Paper copy of sequence listing, pages 1 through 7
- X Sequence listing in computer readable form
- X Statement Under 37 CFR 1.821(f)
- X An executed assignment and cover sheet
- X An executed Statement Under 37 CFR 3.73(b) w/copy of
executed Assignment
- X An executed small entity statement by
NeoGene Technologies, Inc.
- X An executed small entity statement by
NeoTherapeutics, Inc.
- X An executed small entity statement by The Regents of
the University of California
- Request for Nonpublication and Certification
- Also enclosed: _____

_____ This application is based on prior foreign application(s)
No.(s) _____, filed in _____ on _____
_____, respectively, and priority is hereby claimed
therefrom.

— This application is based on, and claims the benefit of, U.S. Provisional Application No. 60/_____, filed _____, and entitled _____, and which is incorporated herein by reference.

— This application is based on, and claims the benefit of, U.S. Provisional Application No. 60/_____ (yet to be assigned), filed _____, which was converted from U.S. Serial No. _____, and entitled _____, and which is incorporated herein by reference.

The filing fee has been calculated as shown below:

	Number Filed		Number Extra		Rate		Fee	
					Small Entity	Other Entity	Small Entity	Other Entity
Total Claims	33-20	=	13	x	\$9	\$18	= \$117	\$
Independent Claims	4 - 3	=	1	x	\$40	\$80	= \$ 40	\$
Multiple Dependent Claims Presented: ___ Yes ___ No					\$135	\$270	\$ 00	\$
					BASIC FEE		\$355	\$710
					TOTAL FEE		\$512	\$

☒ A check in the amount of \$552.00 is enclosed, \$512.00 of which covers the basic filing fee, and \$40.00 which covers the filing fee for the Assignment. A duplicate copy of this sheet is enclosed.

— The payment of the filing fee is to be deferred until the Declaration is filed. Do not charge our deposit account.

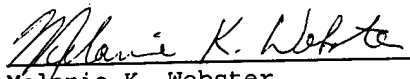
☒ The Commissioner is hereby authorized to charge fees under 37 CFR 1.16 and 1.17 which may be required or credit any overpayment to Deposit Account No. 03-0370. A duplicate copy of this sheet is enclosed.

Address all future communications to:

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USPTO CUSTOMER NO. 23601

Respectfully submitted,

Date: August 17, 2001


Melanie K. Webster
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San Diego, California 92122

09932151-081701

PATENT

Our Docket: P-UC 4679

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:)
Civelli and Lin)
Serial No. Not Assigned Yet)
Filed: Herewith)
For: SCREENING AND THERAPEUTIC)
METHODS FOR PROMOTING)
WAKEFULNESS AND SLEEP)

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Amy Burkhardt
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(SIGNATURE OF PERSON MAILING PAPER OR FEE)

Sir:

STATEMENT UNDER 37 C.F.R. § 1.821(f)

I hereby state that the content of the paper and
computer readable copies of the Sequence Listing, submitted in
accordance with 37 CFR § 1.821(c) and (e), respectively, are the
same.

Respectfully submitted,

August 17, 2001

Date

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